FORM PTO-1390 (Modified)
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE
(REV 12-2004)

,	TRA	NSMITTAL LETTER TO THE U	NITED STATES	ATTORNEY'S DOCKET NUMBER				
	D	ESIGNATED/ELECTED OFFICE	(DO/EO/US)	047182-0145				
		NCERNING A FILING UNDER		U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 10/540,112				
		NAL APPLICATION NO. INTERNATION NO. 12/19/20		PRIORITY DATE CLAIMED 12/20/2002				
TIT	LE OF IN	ENTION						
			TRICAL BREAKDOWN IN THE	E VICINITY OF CARBON NANOTUBE TIPS				
		S) FOR DO/EO/US RATKAR et al.						
App	licant her	ewith submits to the United States Designate	ed/Elected Office (DO/EO/US)	the following items and other information:				
1.		This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.						
2.	\boxtimes	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.						
3.	\boxtimes	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.						
4.	\boxtimes	The US has been elected (Article 31).						
5.	\boxtimes	A copy of the International Application as file	ed (35 U.S.C. 371(c)(2))					
		is attached hereto (required only if no	ot communicated by the Interna	ational Bureau).				
		has been communicated by the Inter	national Bureau.					
		is not required, as the application wa	s filed in the United States Rec	eiving Office (RO/US)				
6.		An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4).						
7.		Amendments to the claims of the Internation are attached hereto (required only if have been communicated by the Internation have not been made; however, the ti have not been made and will not be	not transmitted by the Internation ernational Bureau. me limit for making such amen	onal Bureau).				
8.		An English language translation of the ame	ndments to the claims under Po	CT Article 19 (35 U.S.C. 371(c)(3)).				
9.	\boxtimes	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).						
10.		An English language translation of the anne 36 (35 U.S.C. 371(c)(5)).	xes to the International Prelimi	nary Examination Report under PCT Article				
lten	ns 11 to 2	below concern other document(s) or in	formation included:					
11.		An Information Disclosure Statement under	37 CFR 1.97 and 1.98.					
12.		An assignment document for recording. A s	separate cover sheet in complia	ance with 37 CFR 3.28 and 3.31 is included.				
13.		A preliminary amendment.						
14.		An Application Data Sheet under 37 CFR 1.	76.					
15.		A substitute specification.						
16.	□ .	A power of attorney and/or change of addre	ss letter.					
17.		A computer-readable form of the sequence I	isting in accordance with PCT f	Rule 13 <i>ter.</i> 2 and 37 CFR 1.821 – 1.825				
18.		A second copy of the published international	application under 35 U.S.C. 15	54(d)(4).				
19.		A second copy of the English language trans	slation of the international applic	cation under 35 U.S.C. 154(d)(4).				
20.		Other items or information: Return copy of N						

	6. APPLICATION NO. (If known, see 37 CFR. 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKE 10/540,112 PCT/US2003/040665 047182-0145									
-	The following fees have been submitted:						<u></u>			· · · · · · · · · · · · · · · · · · ·
21. 🖾)	\$	300.00	
22. Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) All other situations \$200							\$	200.00		
23.	Search fe	ee		1		, <u></u>				
Search fee	(37 CFR 1	1.445(a)(2))	has bee	n paid on the international	applicati	on t	to the			
USPTO	as an Inter	national Sea	arching A	authority	\$	100)			
Internationa	International Search Report prepared and provided to the Office \$400									·
All other sit	All other situations \$500								100.00	
	TO.	TAL OF AB	OVE 21,	22 and 23 =				\$	600.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$ for each additional 50 sheets of paper or fraction thereof.										
Total Shee	ts Extra	sheets		er of each additional 50 or f f (round up to a whole num		R	ATE]		
31 - 100	0 = 0	/50 =		0	-	×	\$250.00	\$	0.00	
Surcharge	of \$130.00	for furnishi	ng the o	ath or declaration later tha	n 30 mor	nths	from the	\$	130.00	
		ty date (37 C		,						
CLAI		NUMBER		NUMBER EXTRA		RAT		\$		
Total C	laims	30	- 20 =	10	x \$		50.00	\$	500.00	
Independe		9	- 3 =	6	x \$		200.00	\$	1200.00	
MULTIPLE	DEPEND	ENT CLAIM	(S) (if ap	•	+\$		360.00	\$		
				TOTAL OF ABOV			TIONS =	\$	2430.00	
	plicant cla reduced l		entity sta	atus. See 37 CFR 1.27. Fe	es abov	е	+	\$	1215.00	
	· <u> </u>				s	UB.	TOTAL =	\$	1215.00	
		Appl		reby petitions for an EXT MONTHS, the (small enti					225.00	
TOTAL FEES DUE =							\$	1440.00		
TOTAL FEES PREVIOUSLY PAID =							\$	2300.00		
TOTAL FEES OVERPAID =							\$	860.00		
KAYPAGH 00	000098 19	0741 105	40112						Amount to be	
430	00 DA							L	refunded:	860.00
								<u> </u>	charged:	<u> </u>
l t	a. Fees paid with the initial filing on June 20, 2005, were inadvertently paid as large entity. As can be seen from the calculation above, taking into account the two month extension and surcharge fees currently due, this results in an overpayment of \$860.00, which should be credited back to Deposit Account 19-0741.							rrently due, this		
b. 🗆	b. Please charge my Deposit Account No. <u>19-0741</u> in the amount of A duplicate copy of this sheet is enclosed.									
	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0741. A duplicate copy of this sheet is enclosed.									
_ i	d. Fees are to be charge to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.									
		appropria st be filed	ate time and gr	e limit under 37 CFR anted to restore the In	1.495 ha ternatio	as na	not been I Applica	me	t, a petition to to pending stat	revive (37 CFR us.
SENID AL	SEND ALL CORRESPONDENCE TO:							100		
SEND ALL CORRESPONDENCE TO: SIGNAT						EIGNIATI	IDE			
Foley & Lardner LLP Leon Ra										
Customer Number: 22428 NAME						UIIISKY				
NAME										
43,445 REGISTRA							RATION NUMBER			

06/21/2006 01 FC:1617



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. No. 1450 Alexandra, Virginia 22313-1450 www.unpto.gov

FIRST NAMED APPLICANT ATTY. DOCKET NO. U.S. APPLICATION NUMBER NO. 10/540,112

Nikhil Koratkar

047182-0145

INTERNATIONAL APPLICATION NO.

PCT/US03/40665

22428 FOLEY AND LARDNER LLP SUITE 500 3000 K STREET NW WASHINGTON, DC 20007

I.A. FILING DATE

PRIORITY DATE

12/19/2003

12/20/2002

CONFIRMATION NO. 1425 371 FORMALITIES LETTER

OC000000018054518

Date Mailed: 02/17/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/20/2005
- Copy of the International Search Report filed on 06/20/2005
- Preliminary Amendments filed on 06/20/2005
- Information Disclosure Statements filed on 06/20/2005
- U.S. Basic National Fees filed on 06/20/2005
- Priority Documents filed on 06/20/2005
- Specification filed on 06/20/2005
- Claims filed on 06/20/2005
- Abstracts filed on 06/20/2005
- Drawings filed on 06/20/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

BARBARA A CAMPBELL

Telephone: (703) 308-9140 EXT 217

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.		
10/540,112	PCT/US03/40665	047182-0145		

FORM PCT/DO/EO/905 (371 Formalities Notice)